Session of 2024

## **HOUSE BILL No. 2751**

By Committee on Health and Human Services

Requested by Representative Haswood on behalf of the Kansas Department for Aging and Disability Services

2-7

AN ACT concerning the Kansas department for aging and disability services; relating to licensure of providers of disability services; authorizing the department to condition or restrict a license therefor; granting the secretary authority to grant regulation waivers unrelated to health and safety; **adding a definition of day service provider;** authorizing correction orders and civil fines to be appealed to the secretary; amending K.S.A. 39-2004, **39-2009**, 39-2013 and 39-2016 and repealing the existing sections.

Be it enacted by the Legislature of the State of Kansas:

Section 1. K.S.A. 39-2004 is hereby amended to read as follows: 39-2004. (a) The secretary may adopt rules and regulations necessary to carry out the provisions of this act. Such rules and regulations may prescribe minimum standards and requirements relating to: The location, building, size of centers, facilities and hospitals; environmental standards; capacity; the individuals allowed; the types of services offered; the records to be kept; medication management; policies and procedures specific to centers, facilities, hospitals and providers; the kind and frequency of reports and inventories to be made; and may generally establish such requirements as may be deemed necessary to protect the health, safety, hygiene, welfare and comfort of the individuals.

- (b) The authority granted to the secretary under this act is in addition to other statutory authority the secretary has to require the licensing and operation of centers, facilities, hospitals and providers and is not to be construed to limit any of the powers and duties of the secretary under article 59 of chapter 75 of the Kansas Statutes Annotated, and amendments thereto.
- (c) Notwithstanding any other provision of law to the contrary, the secretary may waive a requirement of the rules and regulations adopted under this act if the secretary finds that the waiver of the regulatory requirement is in the public interest and will not detrimentally affect the life, safety, health or welfare of any person receiving care or treatment in a center, facility or hospital licensed under this act or an individual receiving services from a provider licensed under this act.

- Sec. 2. K.S.A. 39-2009 is hereby amended to read as follows: 39-2009. (a) As used in this section:
- (1) "Applicant" means an individual who applies for employment with a center, facility, hospital or a provider of services or applies to work for an employment agency or as an independent contractor that provides staff to a center, facility, hospital or a provider of services.
- (2) "Completion of the sentence" means the last day of the entire term of incarceration imposed by a sentence, including any term that is deferred, suspended or subject to parole, probation, diversion, community corrections, fines, fees, restitution or any other imposed sentencing requirements.
- (3) "Department" means the Kansas department for aging and disability services.
- (4) "Direct access" means work that involves an actual or reasonable expectation of one-on-one interaction with a consumer or a consumer's property, personally identifiable information, medical records, treatment information or financial information.
- (5) "Direct supervision" means that a supervisor is physically present within an immediate distance to a supervisee and is available to provide constant direction, feedback and assistance to a client and the supervisee.
- (6) "Employment agency" means an organization or entity that has a contracted relationship with a center, hospital, facility or provider of services to provide staff with direct access to consumers.
- (7) "Independent contractor" means an organization, entity, agency or individual that provides contracted workers or services to a center, facility, hospital or provider of services.
- (8) "Day service provider" means a provider of day services for development in self-help, recreational skills and work skills to individuals on the home and community-based services intellectual and developmental disability program waiver licensed by the department or a separate and distinct division of an organization, that provides day services for development in self-help, recreational skills and work skills to individuals on the home and community-based services intellectual and developmental disability program waiver licensed by the department. "Day services provider" also means the provision of pre-vocational services that are aimed at preparing a home and community-based services intellectual and developmental disability waiver participant for paid or unpaid employment but are not job-task oriented, including teaching concepts related to compliance, attendance, task completion, problem solving and safety, as provided in 42 C.F.R.§ 440.180.
  - (b) (1) No licensee shall knowingly operate a center, facility,

hospital or be a provider of services if any person who works in the 1 2 center, facility, hospital or for a provider of services has adverse findings on any state or national registry, as defined in rules and 3 4 regulations adopted by the secretary for aging and disability services, or has been convicted of or has been adjudicated a juvenile offender 5 6 because of having-committing committed an act-that which, if done-7 committed by an adult, would constitute the commission of capital 8 murder, pursuant to K.S.A. 21-3439, prior to its repeal, or K.S.A. 21-9 5401, and amendments thereto, first degree murder, pursuant to 10 K.S.A. 21-3401, prior to its repeal, or K.S.A. 21-5402, and amendments thereto, second degree murder, pursuant to K.S.A. 21-11 12 3402(a), prior to its repeal, or K.S.A. 21-5403(a), and amendments 13 thereto, voluntary manslaughter, pursuant to K.S.A. 21-3403, prior to its repeal, or K.S.A. 21-5404, and amendments thereto, assisting 14 suicide, pursuant to K.S.A. 21-3406, prior to its repeal, or K.S.A. 21-15 16 5407, and amendments thereto, mistreatment of a dependent adult or 17 mistreatment of an elder person, pursuant to K.S.A. 21-3437, prior to 18 its repeal, or K.S.A. 21-5417, and amendments thereto, human 19 trafficking, pursuant to K.S.A. 21-3446, prior to its repeal, or K.S.A. 20 21-5426(a), and amendments thereto, aggravated human trafficking, 21 pursuant to K.S.A. 21-3447, prior to its repeal, or K.S.A. 21-5426(b), 22 and amendments thereto, rape, pursuant to K.S.A. 21-3502, prior to 23 its repeal, or K.S.A. 21-5503, and amendments thereto, indecent 24 liberties with a child, pursuant to K.S.A. 21-3503, prior to its repeal, 25 or K.S.A. 21-5506(a), and amendments thereto, aggravated indecent liberties with a child, pursuant to K.S.A. 21-3504, prior to its repeal, 26 27 or K.S.A. 21-5506(b), and amendments thereto, aggravated criminal 28 sodomy, pursuant to K.S.A. 21-3506, prior to its repeal, or K.S.A. 21-29 5504(b), and amendments thereto, indecent solicitation of a child, 30 pursuant to K.S.A. 21-3510, prior to its repeal, or K.S.A. 21-5508(a), 31 and amendments thereto, aggravated indecent solicitation of a child, 32 pursuant to K.S.A. 21-3511, prior to its repeal, or K.S.A. 21-5508(b), 33 and amendments thereto, sexual exploitation of a child, pursuant to 34 K.S.A. 21-3516, prior to its repeal, or K.S.A. 21-5510, and 35 amendments thereto, sexual battery, pursuant to K.S.A. 21-3517, prior 36 to its repeal, or K.S.A. 21-5505(a), and amendments thereto, 37 aggravated sexual battery, pursuant to K.S.A. 21-3518, prior to its 38 repeal, or K.S.A. 21-5505(b), and amendments thereto, commercial 39 sexual exploitation of a child, pursuant to K.S.A. 21-6422, and 40 amendments thereto, an attempt to commit any of the crimes listed in this paragraph, pursuant to K.S.A. 21-3301, prior to its repeal, or 41 K.S.A. 21-5301, and amendments thereto, a conspiracy to commit any 42 43 of the crimes listed in this paragraph, pursuant to K.S.A. 21-3302,

3

4

5

35

36

37

38

39

40

41

42

43

prior to its repeal, or K.S.A. 21-5302, and amendments thereto, or criminal solicitation of any of the crimes listed in this paragraph, pursuant to K.S.A. 21-3303, prior to its repeal, or K.S.A. 21-5303, and amendments thereto, or similar statutes of other states or the federal government.

- 6 (2) (A) A licensee operating a center, facility or hospital or as a 7 provider of services may employ an applicant who has been convicted 8 of any of the following if six or more years have elapsed since 9 completion of the sentence imposed or the applicant was discharged from probation, a community correctional services program, parole, 10 postrelease supervision, conditional release or a suspended sentence; if 11 12 six or more years have elapsed since a community correctional 13 services program, parole, postrelease supervision, conditional release or a suspended sentence; or if the applicant has been granted a waiver 14 of such six-year disqualification: A felony conviction for a crime that is 15 described in: (A)(i) Article 34 of chapter 21 of the Kansas Statutes 16 17 Annotated, prior to their repeal, or article 54 of chapter 21 of the 18 Kansas Statutes Annotated, and amendments thereto, except those 19 crimes listed in paragraph (1); (B)(ii) article 35 or 36 of chapter 21 of 20 the Kansas Statutes Annotated, and amendments thereto, prior to 21 their repeal, or article 55 or 56 of chapter 21 of the Kansas Statutes 22 Annotated or K.S.A. 21-6420, and amendments thereto, except those 23 crimes listed in paragraph (1); (C)(iii) K.S.A. 21-3701, prior to its 24 repeal, or K.S.A. 21-5801, and amendments thereto; (D)(iv) an attempt to commit any of the crimes listed in this paragraph pursuant to 25 K.S.A. 21-3301, prior to its repeal, or K.S.A. 21-5301, and 26 27 amendments thereto; (E)(v) a conspiracy to commit any of the crimes 28 listed in this paragraph pursuant to K.S.A. 21-3302, prior to its repeal, 29 or K.S.A. 21-5302, and amendments thereto; (F)(vi) criminal 30 solicitation of any of the crimes listed in this paragraph pursuant to 31 K.S.A. 21-3303, prior to its repeal, or K.S.A. 21-5303, and 32 amendments thereto; or (G)(vii) similar statutes of other states or the 33 federal government. 34
  - (B) An individual who has been disqualified for employment due to conviction or adjudication of an offense listed in this paragraph-(2) may apply to the secretary for aging and disability services for a waiver of such disqualification if five years have elapsed since completion of the sentence for such conviction. The secretary shall adopt rules and regulations establishing the waiver process and the criteria to be utilized by the secretary in evaluating any such waiver request.
  - (3) (A) A licensee operating a center, facility, hospital or as a provider of services may employ an applicant who has been convicted

of any of the following if six or more years have elapsed since completion of the sentence imposed or the applicant was discharged from probation, a community correctional services program, parole, postrelease supervision, conditional release or a suspended sentence; if six or more years have elapsed since the applicant has been finally discharged from the custody of the commissioner of juvenile justice or from probation or has been adjudicated a juvenile offender, whichever time is longer; or if the applicant has been granted a waiver of such six-year disqualification:

- (i) Interference with custody of a committed person pursuant to K.S.A. 21-3423, prior to its repeal, or K.S.A. 21-5410, and amendments thereto; mistreatment of a confined person pursuant to K.S.A. 21-3425, prior to its repeal, or K.S.A. 21-5416, and amendments thereto; unlawful administration of a substance pursuant to K.S.A. 21-3445, prior to its repeal, or K.S.A. 21-5425, and amendments thereto; violation of a protective order pursuant to K.S.A. 21-3843, prior to its repeal, or K.S.A. 21-5924; promoting obscenity or promoting obscenity to minors pursuant to K.S.A. 21-4301 or 21-4301a, prior to their repeal, or K.S.A. 21-6401, and amendments thereto; or cruelty to animals pursuant to K.S.A. 21-3727, 21-4310 or 21-4311, prior to their repeal, or K.S.A. 21-6412, and amendments thereto; or
- (ii) any felony conviction of: Unlawful manufacture of a controlled substance pursuant to K.S.A. 2010 Supp. 21-36a03, prior to its repeal, or K.S.A. 21-5703, and amendments thereto; unlawful cultivation or distribution of a controlled substance pursuant to K.S.A. 2010 Supp. 21-36a05, prior to its repeal, or K.S.A. 21-5705, and amendments thereto; unlawful manufacture, distribution, cultivation or possession of a controlled substance using a communication facility pursuant to K.S.A. 2010 Supp. 21-36a07, prior to its repeal, or K.S.A. 21-5707, and amendments thereto; unlawful obtainment or sale of a prescription-only drug pursuant to K.S.A. 2010 Supp. 21-36a08, prior to its repeal, or K.S.A. 21-5708, and amendments thereto; unlawful distribution of drug precursors or drug paraphernalia pursuant to K.S.A. 2010 Supp. 21-36a10, prior to its repeal, or K.S.A. 21-5710, and amendments thereto; unlawful distribution or possession of a simulated controlled substance pursuant to K.S.A. 2010 Supp. 21-36a13, prior to its repeal, or K.S.A. 21-5713, and amendments thereto; forgery pursuant to K.S.A. 21-3710, prior to its repeal, or K.S.A. 21-5823, and amendments thereto; criminal use of a financial card pursuant to K.S.A. 21-3729, prior to its repeal, or K.S.A. 21-5828, and amendments thereto; any violation of the Kansas medicaid fraud control act pursuant to K.S.A. 21-3844 et seq., prior to their repeal, or

 K.S.A. 21-5925 et seq., and amendments thereto; making a false claim, statement or representation to the medicaid program pursuant to K.S.A. 21-3846, prior to its repeal, or K.S.A. 21-5927, and amendments thereto; unlawful acts relating to the medicaid program pursuant to K.S.A. 21-3847, prior to its repeal, or K.S.A. 21-5928, and amendments thereto; obstruction of a medicaid fraud investigation pursuant to K.S.A. 21-3856, prior to its repeal, or K.S.A. 21-5929, and amendments thereto; identity theft or identity fraud pursuant to K.S.A. 2010 Supp. 21-4018, prior to its repeal, or K.S.A. 21-6107, and amendments thereto; or social welfare fraud pursuant to K.S.A. 39-720, and amendments thereto. The provisions of this paragraph shall not apply to any person who is employed by a center, facility, hospital or provider of services on or before July 1, 2018, and is continuously employed by the same center, facility, hospital or provider of services or to any person during or upon successful completion of a diversion agreement.

- (B) An individual who has been disqualified for employment due to conviction or adjudication of an offense listed in this paragraph (3) may apply to the secretary for aging and disability services for a waiver of such disqualification if five years have elapsed since completion of the sentence for such conviction. The secretary shall adopt rules and regulations establishing the waiver process and criteria to be considered by the secretary in evaluating any such waiver request.
- (c) No licensee shall operate a center, facility, hospital or be a provider of services if such person has been found to be an adult with an impairment in need of a guardian or a conservator, or both, as provided in the act for obtaining a guardian or conservator, or both. The provisions of this subsection shall not apply to an individual who, as a minor, was found to be in need of a guardian or conservator for reasons other than impairment.
- (d) (1) The Kansas bureau of investigation shall release all records of adult and juvenile convictions and adjudications and adult and juvenile convictions and adjudications of any other state or country concerning persons working in a center, facility, hospital or for a provider of services to the secretary for aging and disability services. The Kansas bureau of investigation may charge to the Kansas department for aging and disability services a reasonable fee for providing criminal history record information under this subsection.
- (2) The department shall require an applicant to be fingerprinted and to submit to a state and national criminal history record check. The fingerprints shall be used to identify the individual and to

determine whether the individual has a record of criminal history in this state or other jurisdiction. The department is authorized to submit the fingerprints to the Kansas bureau of investigation and the federal bureau of investigation for a state and national criminal history record check. The department may use the information obtained from fingerprinting and the criminal history record check for purposes of verifying the identification of the person and for making an official determination of the qualifications and fitness of the person to work in the center, facility, hospital or for a provider of services.

- (3) An applicant for employment in-an a center, facility, hospital or for a provider of services shall have 20 calendar days after receipt of authorization to submit the applicant's fingerprints through an authorized collection site in order to be eligible for provisional employment or the applicant's application shall be deemed withdrawn.
- (4) (A) The current or prospective employer of an applicant shall pay a fee not to exceed \$19 of the total cost for criminal history record information to the department for each applicant submitted.
- (B) The prospective employer, employee or independent contractor shall pay the fingerprint collection fee at the time of fingerprinting to the authorized collection site.
- (5) If an applicant disputes the contents of a criminal history record check, then the applicant may file an appeal with the Kansas bureau of investigation.
- (6) Individuals who have been disqualified for employment by reason of their criminal history records and who have met the requirements of this subsection may apply for a waiver with the department within 30 days of the receipt of the notice of employment prohibition.
- (7) The department shall adopt rules and regulations specifying the criteria and procedure for issuing a waiver of the employment prohibition. The secretary shall consider the following criteria when rendering a decision on such a waiver request: Passage of time; extenuating circumstances; demonstration of rehabilitation; and relevancy of the criminal history record information to the position for which the applicant is applying. Any employment prohibition issued shall remain in effect unless or until a waiver is granted.
- (d)(e) The secretary shall provide each licensee requesting information under this section with a pass or fail determination after review of any criminal history record information in writing and within three working days of receipt of such information from the Kansas bureau of investigation or the federal bureau of investigation.

(e)(f) Any licensee or member of the staff who receives information concerning the fitness or unfitness of any person shall keep such information confidential, except that the staff person may disclose such information to the person who is the subject of the request for information. A violation of this subsection shall be an unclassified misdemeanor punishable by a fine of \$100.

(f)(g) For the purpose of complying with this section, the licensee operating a center, facility, hospital or a provider of services shall request from the Kansas department for aging and disability services an eligibility determination regarding adult and juvenile convictions and adjudications. For the purpose of complying with this section, the licensee operating a center, facility, hospital or a provider of services shall receive from any employment agency or independent contractor that provides employees to work in the center, facility, hospital or for the provider of services written certification that such employees are not prohibited from working in the center, facility, hospital or for the provider of services under this section. For the purpose of complying with this section, a licensee may hire an applicant for provisional employment on a one-time basis of 60 calendar days pending the results from the Kansas department for aging and disability services of an eligibility determination under this subsection. A provisional employee may only be supervised by an employee who has completed all training required by federal regulations, department rules and regulations and the center's, facility's, hospital's or provider of services' policies and procedures. No licensee, its contractors or employees, shall be liable for civil damages to any person refused employment or discharged from employment by reason of such licensee's compliance with the provisions of this section if such licensee acts in good faith to comply with this section.

(g)(h) The licensee operating a center, facility, hospital or a provider of services shall not require an applicant under this section to be fingerprinted, if the applicant has been the subject of a criminal history record check under this act within one year prior to the application for employment with the licensee operating a center, facility, hospital or a provider of services and has maintained a record of continuous employment, with no lapse of employment of over 90 days in any center, facility, hospital or a provider of services covered by this act.

Sec. 2. 3. K.S.A. 39-2013 is hereby amended to read as follows: 39-2013. (a) Whenever the licensing agency finds a—substantial failure to comply with the requirements, standards or rules and regulations established adopted under this act, it shall the licensing agency may make an order denying, conditioning, restricting, suspending or revoking the

license after *issuing a* notice and an opportunity for a hearing in accordance with the provisions of the Kansas administrative procedure act, K.S.A. 77-501 et seq., and amendments thereto. Any applicant-or, licensee *or person as defined in K.S.A. 39-2014, and amendments thereto,* may appeal such order in accordance with the provisions of the Kansas judicial review act, K.S.A. 77-601 et seq., and amendments thereto.

- (b) Except as provided in subsection (c), whenever the licensing agency denies, suspends or revokes a license under this section, the applicant or licensee shall not be eligible to apply for a new license or reinstatement of a license for a period of two years from the date of denial, suspension or revocation.
- (c)—(1) Any applicant or licensee issued an emergency order by the licensing agency denying, suspending or revoking a license under this section may apply for a new license or reinstatement of a license at any time upon submission of a written waiver of any right conferred upon such applicant or licensee under the Kansas administrative procedure act, K.S.A. 77-501 et seq., and amendments thereto, and the Kansas judicial review act, K.S.A. 77-601 et seq., and amendments thereto, to the licensing agency in a settlement agreement or other manner as approved by the licensing agency.
- (2)(d) Any licensee issued a notice of intent to take action by the licensing agency under this section may enter into a settlement agreement, as approved by the licensing agency, with the licensing agency at any time upon submission of a written waiver of any right conferred upon such licensee under the Kansas administrative procedure act, K.S.A. 77-501 et seq., and amendments thereto, and the Kansas judicial review act, K.S.A. 77-601 et seq., and amendments thereto.
- (d)(e) In the event that a community mental health center accredited by the commission on accreditation of rehabilitation facilities or the joint commission, or another national accrediting body approved by the secretary for aging and disability services, loses accreditation by such accrediting entity, the community mental health center shall immediately notify the Kansas department for aging and disability services.
- Sec. 3. 4. K.S.A. 39-2016 is hereby amended to read as follows: 39-2016. (a) A correction order may be issued by the secretary or the secretary's designee to a licensee whenever the state fire marshal or the marshal's representative or a duly authorized representative of the secretary inspects or investigates a center, facility, hospital or provider and determines that the center, facility, hospital or provider is not in compliance with the provisions of this act or article 59 of chapter 75 of the Kansas Statutes Annotated, and amendments thereto, or rules and regulations promulgated thereunder adopted by the secretary pursuant to such authority and such non-compliance is likely to adversely affect the

health, safety, nutrition or sanitation of the individuals or the public. The correction order shall be served upon the licensee either personally or by certified mail, return receipt requested. The correction order shall be in writing, shall state the specific deficiency, cite the specific statutory provision or rule and regulation alleged to have been violated and shall specify the time allowed for correction.

- (b) If upon re-inspection by the state fire marshal or the marshal's representative or a duly authorized representative of the secretary, it is found that the licensee has not corrected the deficiency or deficiencies specified in the correction order, the secretary may assess a civil penalty in an amount not to exceed \$500 per day, per deficiency, against the licensee for each *subsequent* day-subsequent to the day following the time allowed for correction of the deficiency as specified in the correction order, the maximum assessment shall not exceed \$2,500. A written notice of assessment shall be served upon the licensee either personally or by certified mail, return receipt requested. Such notice of assessment shall advise the licensee of the opportunity to be heard in accordance with the Kansas administrative procedure act and to appeal such order in accordance with the provisions of the Kansas judicial review act.
- (c) Before the assessment of a civil penalty, the secretary shall consider the following factors in determining the amount of the civil penalty to be assessed:
  - (1) The severity of the violation;
- (2) the good faith effort exercised by the center, facility, hospital or provider to correct the violation; and
- (3) the history of compliance of the licensee of the center, facility, hospital or provider with the rules and regulations. If the secretary finds that some or all deficiencies cited in the correction order have also been cited against the center, facility, hospital or provider as a result of any inspection or investigation which occurred within 18 months prior to the inspection or investigation which resulted in such correction order, the secretary may double the civil penalty assessed against the licensee, the maximum not to exceed \$5,000.
- (d) All civil penalties assessed shall be due and payable within 10 days after written notice of assessment is served on the licensee, unless a longer period of time is granted by the secretary. If a civil penalty is not paid within the applicable time period, the secretary may file a certified copy of the notice of assessment with the clerk of the district court in the county where the center, facility, hospital or provider is located. The notice of assessment shall be enforced in the same manner as a judgment of the district court.
- (e) Any licensee against whom a civil penalty has been assessed, may appeal such assessment to the secretary within 10 days after receiving a

13

14

17

18

1 written notice of assessment by filing a written notice of appeal with the office of administrative hearings specifying why such civil penalty should not be assessed. Such appeal shall not operate to stay the payment of the 3 civil penalty. Upon receipt of the notice of appeal, the office of 4 administrative hearings shall conduct a hearing in accordance with the 5 6 provisions of the Kansas administrative procedure act. If the initial order 7 issued by the office of administrative hearings finds in favor of the 8 appellant and the secretary affirms the initial order, any civil penalties collected shall be refunded to the appellant licensee. Either party may 9 appeal the final order in accordance with the Kansas judicial review act. 10

- (f) All civil penalties collected pursuant to the provisions of this act shall be deposited with the state treasurer in accordance with K.S.A. 75-4215, and amendments thereto. Upon receipt the state treasurer shall deposit the entire amount in the state general fund.
- Sec. 4. 5. K.S.A. 39-2004, **39-2009**, 39-2013 and 39-2016 are hereby repealed.
  - Sec. 5. 6. This act shall take effect and be in force from and after its publication in the statute book.