

MINUTES

Legislative Post Audit Committee February 25, 2009

The meeting was called to order by Chair Virgil Peck Jr. at 11:45 a.m. in Room 446-N of the Statehouse. Committee members present:

Representative Virgil Peck Jr., Chair	Senator Terry Bruce, Vice-Chair
Representative Tom Burroughs	Senator Anthony Hensley
Representative John Grange	
Representative Peggy Mast	

Approval of Minutes

Representative Mast moved approval of the February 11, 2009, minutes. Senator Bruce seconded the motion. Motion carried.

Completed Performance Audit

Regents' Information Systems: Following Up on Computer-Security Issues at Various Universities (public report). This audit was presented by Scott Frank, Audit Manager. This audit was a follow-up audit of a 2005 computer-security audit of Kansas State University, Emporia State University, and the University of Kansas. That audit included a large number of recommendations related to missing or inadequate security policies, and to non-policy areas such as the authority of the security officer position and the efficiency of the policy-setting process. In this audit, staff found that the three universities have fully implemented very few of the policy recommendations from the 2005 report. While ESU did the best, fully complying with 28 of 41 recommendations, KSU complied with only 7 of 33, and KU complied with only 5 of 33. In testing some of the areas, staff found significant access control problems at one university. Finally, the auditors found that the universities have implemented most of the non-policy recommendations from the 2005 audit report.

Mike Erickson, Associate Vice-President for Technology & Computing Services, Emporia State University, had brief comments and was available to answer questions from the Committee.

Lynn Carlin, Interim Vice-Provost, Information Technology Services, Kansas State University, delivered brief comments and answered questions.

Denise Stephens, Vice-Provost for Information Services, University of Kansas, had brief comments and answered members' questions.

Following presentation and discussion of the public report, Senator Bruce made the following motion:

I move that the open meeting of the Legislative Post Audit Committee in Room 446-N of the Statehouse be recessed for a closed, executive meeting to commence immediately in Room 446-N of the Statehouse pursuant to subsection (b)(13) of K.S.A. 2008 Supp. 75-4319 for a discussion of the security of the information systems of the State Board of Regents for Emporia State University, the University of Kansas, and Kansas State University, that are under the supervision and control of the State Board of Regents, which subject is under consideration by the Legislative Post Audit Committee, because open discussion would jeopardize the security of the information systems, that the Legislative Post Audit Committee resume the open meeting in Room 446-N of the Statehouse at 12:50 p.m., and that this motion, if adopted, be recorded in the minutes of the Legislative Post Audit Committee and be maintained as a part of the permanent records of the committee.

Motion was seconded by Representative Mast. Motion carried.

The Chair announced that he had determined the following persons were necessary to aid the Committee in the closed meeting, and he directed that those names be noted in the minutes:

- Barb Hinton, Legislative Post Auditor
- Scott Manager, Audit Manager
- Brad Williams, Board of Regents
- Mike Erickson and Cheryl O'Dell, Emporia State University
- Lynn Carlin and Harvard Townsend, Kansas State University
- Denise Stephens and Chuck Crawford, University of Kansas

The Committee went into executive session at 12:30 p.m. The open meeting resumed at 12:50 p.m.

Senator Bruce made the following motion:

I move that the open meeting of the Legislative Post Audit Committee in Room 446-N of the Statehouse be recessed for a closed, executive meeting to commence immediately in Room 446-N of the Statehouse pursuant to subsection (b)(13) of K.S.A. 2008 Supp. 75-4319 for a discussion of the security of the information systems of the State Board of Regents for Emporia State University, the University of Kansas, and Kansas State University, that are under the supervision and control of the State Board of Regents, which subject is under consideration by the Legislative Post Audit Committee, because open discussion would jeopardize the security of the information

systems, that the Legislative Post Audit Committee resume the open meeting in Room 446-N of the Statehouse at 1:00 p.m., and that this motion, if adopted, be recorded in the minutes of the Legislative Post Audit Committee and be maintained as a part of the permanent records of the committee.

Motion was seconded by Representative Mast. Motion carried.

The Committee went into executive session at 12:51 p.m. The open meeting resumed at 1:00 p.m.

This audit will be distributed to the Joint Committee on Information Technology, the House Committee on Higher Education, and the Senate Education Committee. The Committee directed that the report also be sent to the House Education Budget Committee and the Joint Committee on Kansas Security.

Representative Burroughs expressed his desire to make sure the recommendations outlined in this audit were being followed, and that the report's recommendations for follow-up be adopted. Staff will make sure that the universities are reminded about the recommended progress reports.

Consideration of Audit Topics

Consideration of 200-hour audit request. Scott Frank, Audit Manager, brought before the Committee the limited-scope audit request made by Representative Huebert. Representative Huebert made brief remarks in support of his request. Due to lack of a quorum, the Committee took no action on the request, but Representative Peck said that the matter would be taken up again at the next Committee meeting on March 4.

Old Business

Distribution of efficiency-related policy suggestions LPA receives from the public. Leo Hafner briefly took the Committee through the list he had compiled of suggestions from the public regarding efficiency and cost-savings ideas. By consensus, the Committee agreed that the list could be shared with other interested committees.

Date of the Next Meeting

The Chair suggested that a meeting date be set as soon as possible to consider the items not resolved at this meeting, possibly Wednesday, March 4. Staff will poll members and work with the Chair to set the date. The meeting adjourned at 1:10 p.m.

(All handouts and other documents referred to in these minutes are on file with Legislative Post Audit.)